

FORM PTO-1449 (modified)
To: U.S. Department of Commerce
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Atty. M# Client Ref.
Dkt. No.

061047 0268225 RM-1

Applicant: Alan Asay, et al.

Appln. No.: 09/943,086

Filing Date: August 31, 2001

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

Date: July 26, 2010

Page 1 of 2

Examiner: Paul E. Callahan Group Art Unit: 2437

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
AR	5,903,882	05/1999	ASAY et al.	705	44	
BR	6,353,812	03/2002	FRANKEL et al.	705	44	
CR	5,453,601	09/1995	ROSEN	705	65	
DR	5,455,407	10/1995	ROSEN	705	65	

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name		English Abstract	Translation Readily Available
						Enclosed	No
ER	WO 95/23465	08/1995	WIPO	YACOBI			
FR	WO 96/21192	07/1996	WIPO	MANDLER et al.			
GR	WO 96/31965	10/1996	WIPO	DOGGETT et al.			
HR	WO 96/32701	10/1996	WIPO	PAYS et al.			

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

IR	International Search Report as issued for PCT/US00/02013, dated July 4, 2000	X
JR	Bellare, M., et al., "iKP – A Family of Secure Electronic Payment Protocols", Proceedings of the Usenix Workshop of Electronic Commerce, Jul. 11, 1995, pp. 89-106.	
KR	International Search Report as issued for PCT/US97/22136, dated July 6, 1998	X
LR	Kocher, Paul, "A Quick Introduction to Certificate Revocation Trees (CRTs), A Quick Introduction to CRTs, 3 pgs., no date.	
MR	Written Opinion as issued for PCT/US97/22136, dated August 18, 1998	X
NR	Second Written Opinion as issued for PCT/US97/22136, dated December 14, 1998	X
OR	International Preliminary Examination Report as issued for PCT/US97/22136, dated February 23, 1999	X
PR	Result of consultation with the Examiner as issued for European Patent Application No. 97951534.3, dated August 31, 2007	X
QR	Decision to refuse a European Patent application as issued for European Patent Application No. 97951534.3, dated October 26, 2007	X
RR	Summons to attend oral proceedings as issued for European Patent Application No. 97951534.3, dated June 25, 2007	X
SR	First Office Action as issued for European Patent Application No. 97951534.3, dated April 12, 2001	X
TR	Second Office Action as issued for European Patent Application No. 97951534.3, dated June 5, 2003	X
UR	Third Office Action as issued for European Patent Application No. 97951534.3, dated September 8, 2006	X

Examiner _____ Date Considered: _____

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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VR						
WR						
XR						
YR						

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	Document Number	Date MM/YYYY	Country	Inventor Name		English Abstract	Translation Readily Available
						Enclosed	No
ZR							
AAF							
BBF							
CCF							

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

DDI	Official Notice of Final Decision of Rejection as issued for Japanese Patent Application No. 526,801/1998, dated March 18, 2008		X
EER	Official Notice of Final Decision of Rejection as issued for Japanese Patent Application No. 2008-185240, dated October 20, 2009		X
FFF	Examiner's first report on Australian Patent Application No. 55153/98, dated April 11, 2000		X
GGI	First Office Action as issued for Chinese Patent Application No. 97181385.X, dated April 5, 2002		X
HII	First Office Action as issued for Russian Patent Application No. 99115094/09 (016326), dated July 9, 2002		X
IIR	Second Office Action as issued for Russian Patent Application No. 99115094/09 (016326), dated December 15, 2002		X
JJR	Cervenka, Dana, "Bricking up the 'Net to make it safe for business", CED: Communications Engineering & Design, August 1996, pages 46-49		
KKF	Stipe, Suzanne, "High-tech cure is at hand for Internet insecurities", Best's Review – Life-Health Insurance Edition, Vol. I, 97, No. 5, Sept. 1996, pg. 106(2)		
LLR			
MM			
NNF			
OOI			
PPF			

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